

FALL 2007

SEMINAR ON PRESUPPOSITION

EXCURSUS ON TRIVALENCE:

SUPERVALUATIONS VS. STRONG KLEENE

I. Strong Kleene

(1) **Strong Kleene Logic**

a. $I_s(\neg F) = 1$ iff $I_s(F) = 0$; $= 0$ iff $I_s(F) = 1$

b. $I_s(F \wedge G) = 1$ iff $I_s(F) = I_s(G) = 1$; $= 0$ iff $I_s(F) = 0$ or $I_s(G) = 0$

c. $I_s(\forall x F) = 1$ iff for each d in the domain, $I_{s[x \rightarrow d]}(F) = 1$; $= 0$ iff for some d in the domain, $I_{s[x \rightarrow d]}(F) = 0$.

Other connectives are defined using the *usual* interdefinability rules.

II. Supervaluations

(2) **A simple supervaluationist logic**

• **Definition 1**

I' is a bivalent extension of I just in case for every proposition p of type t , for every predicate P of type $\langle e, t \rangle$, and for every individual of type d :

if $I(p) \neq u$, $I'(p) = I(p)$; otherwise, $I'(p) \in \{0, 1\}$

if $[I(P)](d) \neq u$, $[I'(P)](d) = [I(P)](d)$; otherwise, $[I'(P)](d) \in \{0, 1\}$

• **Definition 2**

Let F be a formula, interpreted with respect with respect to a valuation i (which assigns truth values among $\{0, 1, u\}$ to atomic elements). Then i is extended to an interpretation I for the entire language by using the following rules, together with a *classical* semantics for bivalent interpretations:

$I(F) = 1$ iff for every bivalent extension I' of i , $I'(F) = 1$

$I(F) = 0$ iff for every bivalent extension I' of i , $I'(F) = 0$

$I(F) = \#$ otherwise.

(3) **Examples**

Example 1: $i(p) = u$

$I(p) = \#$ because there is a bivalent extension I' of i for which $I'(p) = 1$ and another bivalent extension I'' of i for which $I''(p) = 0$.

Example 2: $i(p) = u; i(q) = 1$

$I(p \wedge q) = \#$ because there is a bivalent extension I' of i for which $I'(p) = I'(q) = 1$ - hence $I'(p \wedge q) = 1$ - and there is a bivalent extension I'' of i for which $I''(q) = 1$ and $I''(p) = 0$ - hence $I''(p \wedge q) = 0$.

Example 3: $i(p) = u; i(q) = 0$

$I(p \wedge q) = 0$ because for all bivalent extensions I' of i , $I'(q) = 0$, hence $I'(p \wedge q) = 0$.

Example 4: $i(p) = u; i(q) = u$

$I(p \wedge q) = \#$ because there is a bivalent extension I' of i for which $I'(p) = I'(q) = 1$ - hence $I'(p \wedge q) = 1$ - and there is a bivalent extension I'' of i for which $I''(q) = I''(p) = 0$ - hence $I''(p \wedge q) = 0$.

Example 5: $i(p) = u; i(q) = u$

$I(p \wedge \neg q) = \#$ (as in Example 4).

Example 6: $i(p) = u$

$I(p \wedge \neg p) = 0$ because for every bivalent extension I' of i , $I'(I(p \wedge \neg p)) = 0$.

(4) Main Properties

a. The Strong Kleene Logic is compositional (by definition!)

a'. The simple supervaluationist logic is not compositional.

In Example 4 and in Example 5:

$I(\text{first conjunct}) = I(\text{second conjunct}) = \#$.

However, $I(p \wedge \neg q) = \#$ while $I(p \wedge \neg p) = 0$.

b. Many statements which are tautologies or contradictions in classical logic can have the value $\#$ in the Strong Kleene Logic.

For instance, if $I(p) = \#$, $I(p \wedge \neg q) = \# = I(p \vee \neg q)$.

b'. If a statement is a tautology or a contradiction in classical logic, it has the same status in the supervaluationist logic.

(5) Partial Equivalence

If F contains at most one occurrence of any propositional or predicate letter, then the Strong Kleene and Supervaluationist logics agree on F .

Proof [by induction on the complexity of formulas].

We write i for a trivalent valuation function, K for its Strong Kleene extension, S for its supervaluationist extension.

If F contains at most one occurrence of any propositional or predicate letter, then $K_s(F) = S_s(F)$.

$F = p, P(x)$: trivial

$F = \neg G$: trivial

$F = (G \wedge G')$ By the induction hypothesis, $K_s(G) = S_s(G)$ and $K_s(G') = S_s(G')$.

-Case 1: $K_s(F) = 1$. Then $K_s(G) = K_s(G') = S_s(G) = S_s(G') = 1$, hence for every bivalent $I \geq i$, $I_s(G) = I_s(G') = 1$, hence for every bivalent $I \geq i$, $I_s(F) = 1$ and thus $S_s(F) = 1$.

-Case 2: $K_s(F) = 0$. Suppose $K_s(G) = 0$ (the other case is symmetric). Then $S_s(G) = 0$ and thus for every bivalent $I \geq i$, $I_s(G) = 0$. Therefore for every bivalent $I \geq i$, $I_s(F) = 0$, and thus $S_s(F) = 0$.

-Case 3: $K_s(F) = \#$.

(a) If $K_s(G) = 1$ and $K_s(G') = \#$ (or conversely - the reasoning is the same), then for every bivalent $I \geq i$, $I_s(G) = 1$ for some bivalent $I', I'' \geq i$, $I'_s(G) = 1$ and $I''_s(G') = 1$ and $I''_s(G) = 1$ and $I''_s(G') = 0$. Therefore for $S_s(F) = \#$.

(b) If $K_s(G) = K_s(G') = \#$, some bivalent $I, J, I', J' \geq i$, $I_s(G) = I'_s(G') = 1$, $J_s(G) = J'_s(G') = 0$. Let us call At the set of all atomic propositions and predicates, and $At(F)$ the set of atomic propositions and predicates that occur in F . We can define:

$$I+I' = I_{/At-At(G')} \cup I'_{/At(G')}$$

$$I+J' = I_{/At-At(G')} \cup J'_{/At(G')}$$

$$J+I' = J_{/At-At(G')} \cup I'_{/At(G')}$$

$$J+J' = J_{/At-At(G')} \cup J'_{/At(G')}$$

Since $At(G) \cap At(G') = \emptyset$, $I+I'_s(G) = I_s(G)$ and $I+I'_s(G') = I'_s(G')$; and similarly for the other cases. It follows in particular that

$$I+I'_s(G) = I+I'_s(G') = 1, \text{ hence } I+I''_s(F) = 1$$

$$J+J'_s(G) = J+J'_s(G') = 0, \text{ hence } J+J''_s(F) = 0$$

and thus $S_s(F) = \#$.

$F = \forall x G$

Case 1. $K_s(F) = 1$. Then for every object d , $K_{s[x \rightarrow d]}(G) = 1$, and thus for every d , $S_{s[x \rightarrow d]}(G) = 1$. In other words, for every d , for every bivalent $I' \geq i$, $I'_{s[x \rightarrow d]}(G) = 1$. Hence for every bivalent $I' \geq i$, $I'_s(F) = 1$. Therefore $S_s(F) = 1$.

Case 2. $K_s(F) = 0$. Then for some object d , $K_{s[x \rightarrow d]}(G) = 0$, and thus for some d , $S_{s[x \rightarrow d]}(G) = 0$.

Therefore for every bivalent $I' \geq i$, $I'_{s[x \rightarrow d]}(G) = 0$. So for every bivalent $I' \geq i$, $I'_s(F) = 0$. Therefore $S_s(F) = 0$.

Case 3. $K_s(F) = \#$. So (i) for some object d , $K_{s[x \rightarrow d]}(G) = \#$, (ii) for no object d , $K_{s[x \rightarrow d]}(G) = 0$.

Therefore (i) for some object d , $S_{s[x \rightarrow d]}(G) = \#$, (ii) for no object d , $S_{s[x \rightarrow d]}(G) = 0$.

Thus (i) for some object d , for some bivalent $I', I'' \geq i$, $I'_{s[x \rightarrow d]}(G) \neq I''_{s[x \rightarrow d]}(G)$, (ii) for every object d , for some bivalent $I' \geq i$, $I'_{s[x \rightarrow d]}(G) \neq 0$. By (i), $S_s(F) \neq 1$; by (ii), $S_s(F) \neq 0$. So $S_s(F) = \#$.