

articles

A Generative Textsetting Model

By John Halle and Fred Lerdahl

One aspect of musical practice that has received comparatively little attention in recent years is the system of intuitions operating when a composer assigns notes to words. In contrast to the extremely varied compositional techniques of Western music, composers’ impulses are narrowly constrained by both musical and linguistic intuitions in textsetting. That this is the case can be seen by attempting to match the lines of poetry with their associated rhythmic settings:


- (1a) Tell me not in mournful numbers.  
—Longfellow, “A Psalm of Life,” line 1
- (1b) Through all the compass of the notes.  
—Dryden, “A Song for St. Cecilia’s Day,” line 15

(1c) | ♪ ♪ ♪ ♪ | ♪ ♪ ♪ ♪ |

(1d) | ♩ ♪ ♪ ♪ | ♪ ♪ ♪ ♪ | ♪

One need not have had much musical training to know to pair (1a) with (1c), and (1b) with (1d). While it may appear obvious that one’s judgments are informed by intuitions in attempting to match “stressed” syllables with “strong” beats, the problem that confronts the theorist attempting to explain this system of intuitions is more complex than an initial formulation suggests.

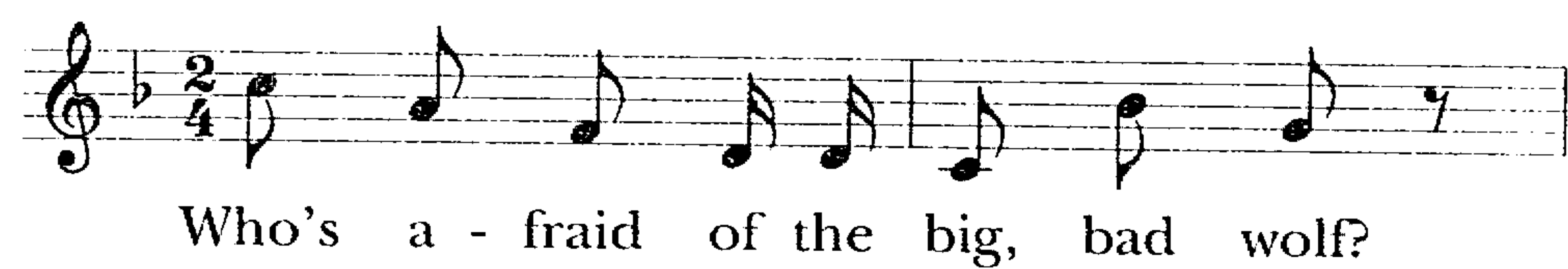
Our approach to this problem makes a basic methodological simplification. As indicated by the absence of pitch notation in the above examples, we assume that on a local level, at least, the notion of the “strong beat” relevant to textsetting is predominantly a rhythmic and metrical phenomenon independent of pitch height. For example, a rising sequence of pitches might in principle seem a more natural setting for the rising intonational pattern between “bad” and “wolf” in the question:



Who’s afraid of the big, bad wolf?

Yet most children know that “bad wolf” is set by a falling pair of pitches:

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While the relationship of musical and phonological pitch contour is highly constrained in settings of tone languages such as Chinese,<sup>1</sup> as a rule the correspondence of musical and phonological pitch contours is a secondary consideration for textsetting in the idioms with which we shall concern ourselves. Much narrower constraints are imposed by the requirements, exemplified in (1), of assigning “strong” metrical positions to “stressed” syllables. Our notation will reflect this fact.

The notation in (1c) and (1d) indicates a series of attack points and durations without pitch. Rhythm, however, does not exist solely in the events themselves but is a structure that experienced listeners infer from particular sequences of events. Although the two settings indicate identical series of durations, they signify two fundamentally distinct metrical structures: (1c) represents a “weak-strong” pattern, while (1d) is “strong-weak.” It is the inferred structure of strong and weak events that listeners assign to patterns, rather than their acoustic organization, which interests us here. To capture the former, we shall follow Fred Lerdahl and Ray Jackendoff’s *A Generative Theory of Tonal Music* (hereafter *GTTM*)<sup>2</sup> in assigning grid representations beneath conventionally notated series of attacks and durations.

The “Metrical Well-Formedness and Preference” rule system outlined in *GTTM* assigns the following grid structures to the patterns in (1c) and (1d):

(2a)

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(2b)

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<sup>1</sup> Bell Yung, “The Relationship of Text and Tune in Chinese Opera,” in *Music, Language, Speech, and Brain*, ed. Johan Sundberg, Lennart Nord, and Rolf Carlson (London: Macmillan, 1991), 408–18.  
<sup>2</sup> Fred Lerdahl and Ray Jackendoff, *A Generative Theory of Tonal Music* (Cambridge: MIT Press, 1983), 68–104.



We shall not discuss the system of rules, outlined in *GTTM*, that motivate a listener's assignment of a grid to durational sequences.<sup>3</sup> It suffices to point out that intuitively based judgments are directly represented by (2a) and (2b), as opposed to the conventional notation in (1c) and (1d). There is no indication in (1c), for example, that the third eighth note of each measure is to be heard as stronger than the second and fourth.

A listener hears a musical surface as "having a beat" largely insofar as he can easily assign it a grid. Having done so, the listener will generally hear a single metrical level as most prominent. This level, defined in *GTTM* as the *tactus*, corresponds to what is informally spoken of as "the beat." It is in reference to this level that most activities carried out with musical accompaniment—such as dancing, jump-roping, and marching—are synchronized. A piece is heard as "in one," as opposed to "in two" or "in four," depending on where the *tactus* is located.

Given the perceptual prominence of the *tactus*, we suggest a notational refinement of the *GTTM* grid system by defining rhythmic levels in relation to it. The *tactus* will be considered level 0, or L(0), with levels above or below denoted by positive or negative integers. As shown in (3), smaller levels are assigned a numerically lower index, and larger levels are given a higher index:



Events that take place on multiple levels will be said to "occur on" or "be assigned to" the most prominent level on which they are situated. Thus, while the first and the second events in (3) both correspond to an L(-1) position, only the latter is assigned to level L(-1), for it is the largest level on which the second event occurs. The former is assigned to level L(1).

\* \* \*

Having suggested a means for representing the hierarchy that a listener assigns to rhythmic events, we now turn to the corresponding problem of how to represent the linguistic hierarchy of strong and weak syllables embodied in the varying degrees of stress that a speaker assigns to words and phrases. In music, as pointed out above, metrically strong and weak

<sup>3</sup> Ibid.



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beats are relative notions: an event may be strong in relation to a second event but weak in relation to a third. A similar situation obtains in language. While one tends to speak of a specific syllable of a word as being accented or strong, in practice most polysyllabic words or phrases are composed of two or more stressed syllables, some of which are more strongly accented than others. In the word “Ticonderoga,” for example, the first syllable is weak compared to the fourth but strong relative to the third. As in the musical case, a grid representation accurately represents the stress hierarchy. Morris Halle and Jean-Roget Vergnaud present the following phonological grid for “Ticonderoga”:<sup>4</sup>

				x	line (3)
	x			x	line (2)
x	x			x	line (1)
x	x	x	x	x	line (0)
Ti	con	de	ro	ga	

The process by which a speaker derives a grid from phonological and morphological input is a subject of considerable discussion in the field of generative phonology,<sup>5</sup> and is therefore well beyond the scope of this paper. As with rhythmic settings, we are concerned not with how a grid is assigned but with the structure it represents. In the phonological grid, all syllables are assigned to a position on line(0) with unstressed syllables, realized in English by the reduced vowel sound “schwa,” receiving an x only on this line. Stressed syllables receive x’s above this level according to their degree of stress. Primary stressed syllables receive the highest column of x’s on the grid.

Grid structures can be also be assigned, albeit less definitively, to larger linguistic units such as phrases, sentences and sequences of sentences. For example, a normal delivery of “Belgian farmers grow turnips” will manifest the following grid structure:<sup>6</sup>

					x	line (3)
		x			x	line (2)
x		x		x	x	line (1)
x	x	x	x	x	x	line (0)
Bel-gian	farm-ers	grow	tur-	nips.		









<sup>4</sup> Morris Halle and Jean-Roget Vergnaud, *An Essay on Stress* (Cambridge: MIT Press, 1987), 9.

<sup>5</sup> See, for example, Alan Prince, “Relating to the Grid” *Linguistic Inquiry* 14 (1983): 19–100, and Mark Liberman, *The Intonational System of English* (Dissertation at MIT, 1975).

<sup>6</sup> Bruce Hayes, “The Phonology of Rhythm in English,” *Linguistic Inquiry* 15 (1984): 35.

[illegible]

(4b)

								line (3)
								line (2)
								line (1)
								line (0)
x		x		x		x		
x	x	x	x	x	x	x	x	
Tell	me	not	in	mourn - ful		num - bers.		
								
*	*	*	*	*	*	*	*	L(-1)
*		*		*		*		L(0)
*				*				L(1)
*								L(2)

(5a)

							x	line (4)
			x				x	line (3)
		x	x				x	line (2)
	x	x	x				x	line (1)
x	x	x	x	x	x	x	x	line (0)

Through all the com - pass of the notes.

The musical notation consists of a single line with eight eighth notes. The notes are grouped by vertical bar lines: the first four notes are in one group, and the last four notes are in another group. The notes are: G4, A4, B4, C5, D5, E5, F5, G5.

*	*	*	*	*	*	*	*	L(-1)
*		*		*		*		L(0)
*				*				L(1)
*								L(2)

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(5b)

									x	line (3)
									x	line (2)
									x	line (1)
	x	x	x	x	x	x	x	x		line (0)
	Tell	me	not	in	mourn-ful	num	-	bers.		
7										
*	*	*	*	*	*	*	*	*	*	L(-1)
*		*		*		*		*	*	L(0)
*				*				*	*	L(1)
*								*	*	L(2)

Our claim that acceptable settings match stressed syllables and strong beats is supported by (4) and (5). In detail, however, the prediction is not consistently borne out. For example, in (4a), the unstressed preposition “of” is assigned to a more prominent position in the metrical grid than the relatively stressed preposition “through.” Similarly, the relatively stressed first syllable of “numbers” in (4b) is relegated to a weaker position than the relatively unstressed first syllable of “mournful.”

To account for these discrepancies, we propose definitions limiting what is meant by a strong beat and a stressed syllable. Our definition of a strong beat follows from the observation that at larger metrical levels metrical intuitions become gradually attenuated (*GTTM*, 87). The “strong-weak” alternations at the eighth-note level L(-1) in (4) are perceptually more pronounced than at the half- or whole-note levels L(1) and L(2). The setting of “mournful numbers” to a strong-weak rhythmic sequence is acceptable because beats stronger than the tactus do not necessarily attract highly stressed syllables. We therefore adopt a binary classification of rhythmic positions into strong and weak beats, with strong beats defined as those falling on tactus level L(0) and larger, and weak beats as those falling on smaller levels.

The binary organization of metrical structure is reflected in the phonological grid by a distinction between two sorts of stressed syllables: those having primary or secondary stress, and those that are stressed but are not prominent in the phonological grid, such as “all” and “not” in (4). While stressed syllables can be assigned to relatively weak metrical positions, there is a pronounced tendency to situate relatively stressed syllables, specifically those receiving stress on line 2 or above, on strong metrical positions. We shall therefore refer to these relatively stressed syllables as accented. Relatively unstressed syllables, i.e. those receiving x’s no higher than line 1, will be denoted as unaccented. We restate the relevant definitions:

**Strong beats** are those falling on level L(0) or larger on the metrical grid.



**Accented syllables** are those receiving stress on line 2 or above on the phonological grid.

The observation that in setting texts one tends to assign accented syllables to strong beats is now precisely defined: syllables are either accented or unaccented according to the height of their associated column on the phonological grid; metrical positions are either strong or weak according to their location in the metrical grid. This principle ignores the assignment of unaccented syllables, which may appear in either strong or weak musical positions, as evidenced by the placement of “of” in (4a). The prohibition against the appearance of certain types of stressed syllables in weak positions is mirrored in similar restrictions operative in poetic meters.<sup>8</sup>

\* \* \*

Our inquiry might now continue in several directions. One possibility is to test and refine a system of textsetting rules based on compositional practice. We shall not explore this approach here, since in creating vocal music, composers are often interested in exploring unusual textsetting possibilities. Options that contradict basic impulses may be preferred by composers precisely because they are violations and hence are striking and unexpected. Given our empirical interest in an unambiguous application of basic textsetting principles, we prefer to examine a musical context in which the simplest solutions are encouraged, rather than rejected as too obvious.

Group singing in church services, folk-song singalongs, jump-roping songs, work songs or marching chants offer controlled environments for studying basic textsetting intuitions. In such contexts, most of those present will know a tune and perhaps the first verse of the text, but often not the subsequent verses of the text, in which case they are listed beneath the first verse as if they were stanzas of poetry. Or, on occasion, new texts are shouted out by the leader immediately before the next verse is sung, with no specific indication as to how the music and text are to correspond. Quite frequently the “tune” that sets the first verse needs to be varied substantially from the original in order to accommodate successive verses. This essentially creative process can be left to the intuitions of even inexperienced singers, a group of whom can be relied on to produce settings that are sufficiently similar for the ensemble to sound together. The musi-

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<sup>8</sup> Morris Halle and Jay Keyser, *English Stress: Its Form, Its Growth, and its Role in Verse* (New York: Harper and Row, 1968), 169.

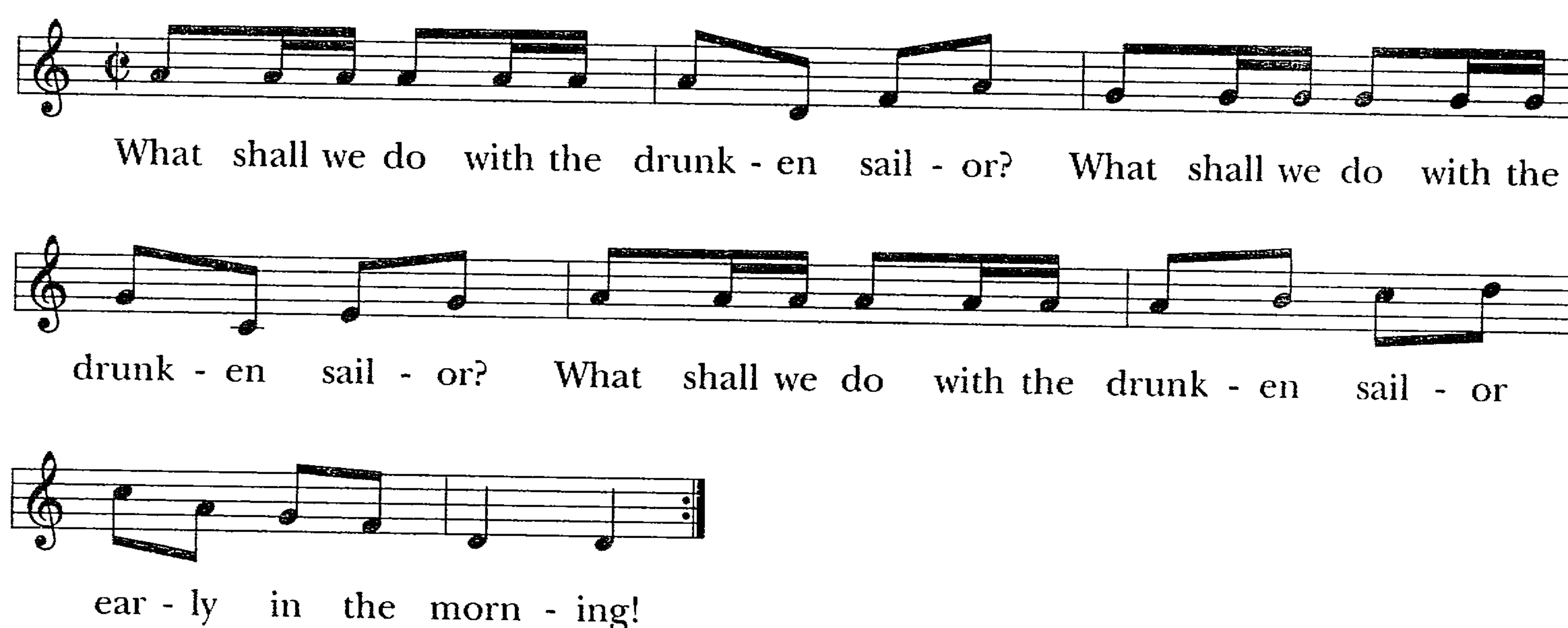


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cal variants created for successive stanzas are suggested by the structures of the texts, which, in conjunction with the maintenance of the structure of the tune, generate a preferred setting. All those singing have internalized the basic principles involved; otherwise the degree of uniformity would not be apparent.

The Anglo-American sea chanty *The Drunken Sailor*<sup>9</sup> is typical of the sort of material that might be performed in such contexts:

### *The Drunken Sailor*



The musical notation for 'The Drunken Sailor' is presented in three staves. The first staff contains the melody for the first line of the verse: 'What shall we do with the drunk - en sail - or? What shall we do with the'. The second staff contains the melody for the second line: 'drunk - en sail - or? What shall we do with the drunk - en sail - or'. The third staff contains the melody for the third line: 'ear - ly in the morn - ing!'. The melody is written in a single treble clef with a key signature of one flat (B-flat) and a common time signature (C). The notes are simple, with some beamed eighth notes and some quarter notes, reflecting the 'one note per syllable' principle mentioned in the text.

What shall we do with the drunk - en sail - or? What shall we do with the

drunk - en sail - or? What shall we do with the drunk - en sail - or

ear - ly in the morn - ing!

2. Put him in the guard room till he gets sober. (Three times.)
3. Keep him there an' make him bail her.
4. Trice him up in a runnin' bowline.
5. Tie him to the taffrail when she's yard-arm under.
6. Put him in the scuppers with a hose-pipe on him.
7. What shall we do with the Queen o' Sheba?
8. Keel-haul him 'til he's sober.
9. Give him a taste o' the bosun's rope-end.
10. Stick on his back a mustard plaster.
11. What'll we do with a Limejuice Skipper?
12. Soak him in oil till he sprouts a flipper.
13. Scrape the hair off his chest with hoop-iron razor.

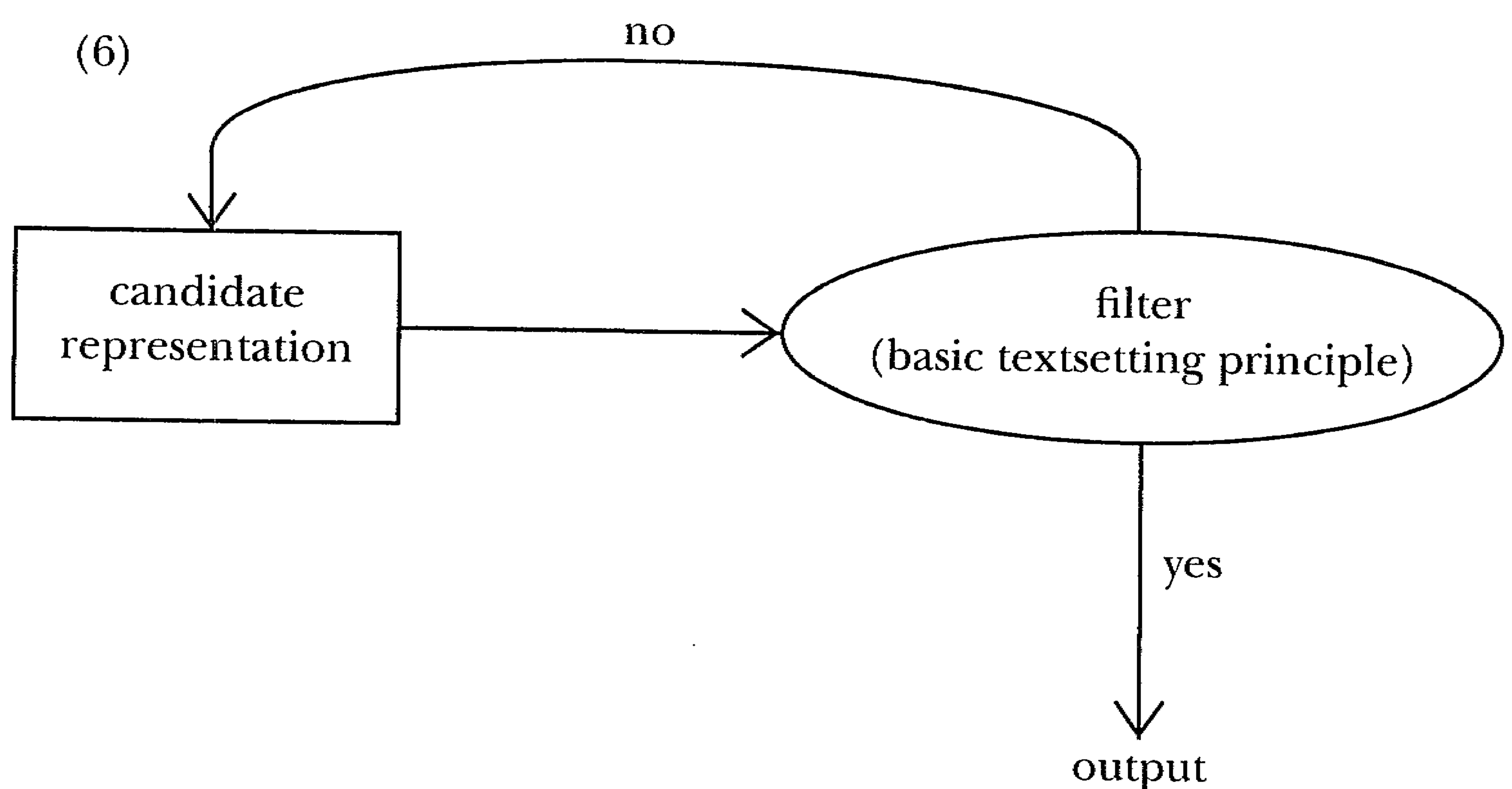
A basic component of this style is that each syllable must be set by one note. Thus, each of the verses having fewer than ten syllables must be set to melodies having fewer notes than the initial statement, while those with more than ten syllables can be accommodated only by settings having more than ten. The rhythm of the melody as initially presented must be altered substantially in order to accommodate the texts of ensuing verses.

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<sup>9</sup> John Ashton, ed., *Real Sailor Songs* (London: Simpkin, Marshall, Hamilton and Kent, 1891).



As suggested in our previous discussion, however, only certain variants of the melody are judged as acceptable settings and are realized in performance. In a conventional textsetting environment like a sea-chanty, “accented syllables” (as defined above) must correspond with “strong beats” (as defined above). We shall refer to this procedure as “the basic textsetting principle.” An explanation of the process by which singers generate tunes might take the form of (6): a candidate setting is generated, and then must pass through a filter which incorporates the intuitions defined by the basic textsetting principle.



The filter passes through acceptable settings such as (7a) and (7b), but rejects unacceptable settings such as (7c).

The theoretical framework implicit in (6) is flawed in two respects. First, as a constraint on the output it is inefficient: a class of inputs is generated and subsequently fed into the filter, which churns through the possibilities, rejecting most of them until an acceptable candidate is generated. The candidate (7c) is only one member of a large class that is rejected by the filter because of the assignment of “make” and “bail” to weak rhythmic positions. More serious, however, is that the filter also admits (7b), which is clearly an unnatural setting. A more effective approach is to devise constraints on the input to the filter, in addition to constraints on the output. Indeed, if sufficiently rigid constraints can be set on the generation of settings, the filter as represented in (6) will be unnecessary.

The most significant of these constraints derives from the paradigmatic tune that initially sets the opening stanza. As we have observed, literal maintenance of the rhythms of the paradigm makes it impossible to accommodate successive stanzas having different syllable counts from the



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(7a)

x					x		x	line (3)
x					x		x	line (2)
x					x		x	line (1)
x	x	x	x	x	x	x	x	line (0)
Keep	him	there	and	make	him	bail	her.	
♪	♪	♪	♪	♪	♪	♪	♪	
*	*	*	*	*	*	*	*	L(-1)
*		*		*		*		L(0)
*				*		*		L(1)

(7b)

							x	line (3)
x				x			x	line (2)
x				x			x	line (1)
x	x	x	x	x	x	x	x	line (0)
Keep	him	there	and	make	him	bail	her.	
♪	♪	♪	♪	♪	♪	♪	♪	♪
*	*	*	*	*	*	*	*	*
*		*		*	*	*	*	*
*				*		*	*	*
*						*	*	*

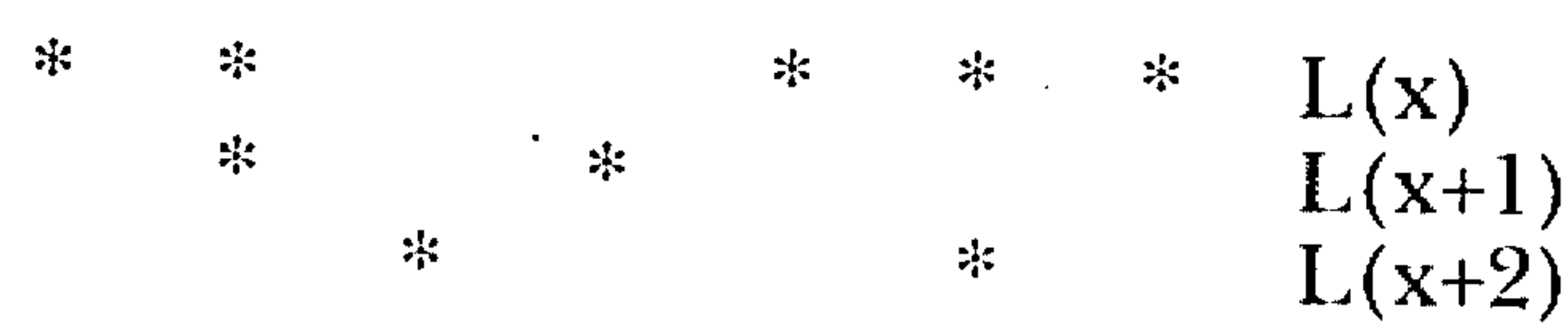
(7c)

							x	line (3)
x				x			x	line (2)
x				x			x	line (1)
x	x	x	x	x	x	x	x	line (0)
Keep	him	there	and	make	him	bail	her.	
♪	♪	♪	♪	♪	♪	♪	♪	
*	*	*	*	*	*	*	*	*
*		*		*	*	*	*	*
*			*	*	*	*	*	*
*				*		*	*	*

initial stanza. The question is what specifically must be maintained from the paradigm. We propose that in hearing *The Drunken Sailor*, one derives a metrical grid that generates a finite repertoire of rhythmic sequences to which all settings are subsequently made to conform. The principal characteristics of this grid are defined by what will be referred to as Metrical Well-Formedness Rules (hereafter MWFRs).

The first two of such rules are general conditions that apply to the geometry of metrical grid structures. MWFR 1, which states that a beat on any level is also a beat on all smaller levels, prohibits structures such as the following which, while perhaps signifying the disposition of voices in a contrapuntal texture, do not represent a metrical hierarchy:





MWFR 2, which requires equally spaced beats, assumes periodicity as a necessary condition for the perception of metrical structure. While acoustical events may not exhibit a perfect regularity, the beats to which they correspond must be understood as functionally equidistant.

MWFRs 3, 4, and 5 refer to specific characteristics of the paradigmatic grid for *The Drunken Sailor* settings. As remarked earlier, one level of the rhythmic hierarchy—the tactus level  $L(0)$ —defines a “beat,” in reference to which physical activities tend to be choreographed. A basic characteristic of *The Drunken Sailor* is that each line is set by a sequence of durations containing four strong beats. The line is then repeated twice, maintaining intact the durational sequence and its associated four-beat grid. Subsequent settings of new stanzas, while departing significantly from the initial sequence, always maintain the framework of these four beats (as indicated in MWFR 3). The reader can confirm this by performing the song with all the verses and tapping at the intuitively most natural points.

The second constraint on *The Drunken Sailor* grids follows from the observation that triple rhythms (triplet-quarter or -eighth notes) are excluded from consideration as settings for these verses. While ternary subdivisions are of course possible in principle, we restrict ourselves to generating settings that contain exclusively binary subdivisions (as stated in MWFR 4): sixteenth, eighth, quarter, half notes—never triplets or dotted rhythms.

A final constraint on grids expresses the acoustical or physiological fact that the intonation of a syllable requires some minimal duration to be either understood by a listener or managed by the speaker (or singer). Hence MWFR 5 states that no event may be situated on a metrical level  $L(x)$  where  $x \geq 2$ . This excludes settings that make use of units less than the sixteenth note in the above transcriptions. For convenience we restate MWFRs 1–5:

- MWFR 1.** A beat on any level is also a beat on all smaller levels.
- MWFR 2.** All levels consist of equally spaced beats
- MWFR 3.** All *Drunken Sailor* grids must contain exactly four  $L(0)$  beats.
- MWFR 4.** In *Drunken Sailor* grids, beats on  $L(x)$  are equally subdivided by one beat at  $L(x-1)$ .
- MWFR 5.** No event may be situated on a metrical level  $L(x)$  where  $x \geq 2$ .



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MWFRs 1–5 combine to produce a paradigmatic grid (8) which constrains possible rhythmic settings.

(8) Paradigmatic Metrical Grid

*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	L(-2)
*		*		*		*		*		*		*		*		*	L(-1)
*				*				*				*				*	L(0)

The larger rhythmic levels L(1) and L(2) are omitted from (8) because these exert a negligible influence on normal textsetting, for reasons previously discussed. We claim that the paradigmatic metrical grid expresses the form in which relevant metric information derived from the initial statement of the tune is represented in the listener’s memory. The possible class of rhythmic sequences generated from the paradigmatic grid constitutes the specifically musical input into the model.

The other input comes from the phonological structure of the text as it is represented in the phonological grid. Our next group of well-formedness conditions consists of constraints on the mapping of phonological to rhythmic events. We shall refer to these as Textsetting Well-Formedness Rules (hereafter TWFRs). TWFR 1 expresses an observation made above, namely that in all settings, each syllable is associated with a beat on some level of the grid.

Although TWFR 1 prohibits parts of syllables from being associated with specific beats (a practice that exists in the Inuit idiom discussed by Jean-Jacques Nattiez and Bruce Bagemihl<sup>10</sup>), it does not prohibit more than one beat from being assigned to a given syllable. A syllable extends across subsequent beats until the next syllable is attacked, a process described by TWFR 2.

TWFRs 1 and 2 require that *The Drunken Sailor* settings are a continuous stream of musical events without rests. This mirrors normal human speech, which is in fact usually a continuum of sound, though represented both in the speaker’s mind and in writing systems as discrete structures (i.e. words). In reality, the situation is somewhat more complicated: practical considerations such as the singer breathing, and aesthetic considerations such as accenting a particular syllable for a particular effect will lead to a disruption of the sonic continuum. These performance factors have a negligible influence on the relative acceptability of particular settings and need not concern us here.

<sup>10</sup> Jean-Jacques Nattiez, “Some Aspects of Inuit Vocal Games,” *Ethnomusicology* 27 (1983) 457–75, and Bruce Bagemihl, “The Morphology and Phonology of Katajjait (Inuit Throat Games),” *Canadian Journal of Linguistics* 33 (1988), 1–58.



Finally, we state the requirement that, since each line of text is repeated twice, each repetition must be synchronized with the renewed onset of the four-beat grid. A setting that imposes a line boundary before the completion of the metrical grid is rejected as a violation of TWFR 3, which requires that each line of text occupy the full extent of the paradigmatic grid. One such case is the following:

Keel haul him till he's so - ber./ Keel haul . . .

| ♪ ♪ ♪ ♪ ♪ | ♪ ♪ ♪ ♪ |

\* \* \* \* \*

\* \* \* \*

\* \* \*

L(-2)

L(-1)

L(0)

This setting is a violation of TWFR 3. We restate all TWFRs in sequence:

- TWFR 1.** In all settings, each syllable is associated with a beat on some level of the grid.
- TWFR 2.** Each syllable occupies the entire time span up to, but not including, the beat corresponding to the onset of the successive syllable.
- TWFR 3.** Each line of text must occupy the full extent of the paradigmatic grid.

We now have at our disposal rules that constrain both acceptable rhythmic sequences and possible mappings between syllables and rhythms. Unlike the textsetting model invoked in (6), these constraints ensure a limited input. Next we propose an algorithm (9) that, in conjunction with well-formedness conditions, assigns a unique setting to texts.

(9) Textsetting Algorithm

- Step 1.** Assign all accented syllables to available L(0) beats from left to right.
- Step 2.** Assign syllables to L(-1) beats from left to right.
- Step 3.** Assign syllables to L(-2) beats from left to right.

Let us apply these three steps to the second stanza, “Stick on his back a mustard plaster.” A natural rendition of the line admits of the following phonological grid representation:



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					x				line (4)
			x		x		x		line (3)
x			x		x		x		line (2)
x			x		x		x		line (1)
x	x	x	x	x	x	x	x	x	line (0)

Stick on his back a mus - tard plas - ter.

According to above definition 2 above, the phrase has four accented syllables. Step 1 mandates that each of these must be assigned an L(0) beat:

Stick    —    —    back   —   mus   —   plas   — .  
       \*                        \*                \*                \*                L(0)

MWFR 1 then requires each  $L(0)$  beat to be assigned a beat on  $L(-1)$ :

$$\begin{array}{ccccccc} \text{Stick} & \_ & \_ & \text{back} & \_ & \text{mus} & \_ & \text{plas} & \_ \\ * & & & * & & * & & * & \\ * & & & * & & * & & * & \end{array} \begin{array}{l} \text{L}(-1) \\ \text{L}(0) \end{array}$$

The binary subdivision requirement, MWFR 4, mandates four additional L(-1) beats:

$$\begin{array}{cccccccc} \text{Stick} & \_ & \_ & \text{back} & \_ & \text{mus} & \_ & \text{plas} & \_ \\ * & * & & * & * & * & * & * & * \\ * & & & * & & * & & * & \end{array} \begin{array}{l} \text{L}(-1) \\ \text{L}(0) \end{array}$$

Step 2 in the algorithm instructs us to assign these beats to the remaining syllables from left to right. This means that we give the leftmost unassigned syllable a beat on this level:

Stick on \_\_\_\_ back a mus-tard plas-ter.

*	*		*	*	*	*	*		
			*		*		*	*	

L(-1)  
L(0)

Finally, MWFR 1 requires L(-2) beats above all L(-1) beats:

Stick on \_\_\_\_ back a mus-tard plas-ter.

*	*		*	*	*	*	*	L(-2)
*	*		*	*	*	*	*	L(-1)
*			*			*		L(0)

MWFR 4 requires eight additional L(-2) beats:

Stick on \_\_\_ back a mus - tard plas - ter.

*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	*	L(-2)
*		*		*		*		*		*		*		*		L(-1)
*				*				*				*				L(0)



Stick on his back a mus - tard plas - ter.	
* * * *	
* * * *	
*	

Stick on his back a mus - tard plas - ter.

\* \* \* \* \*

\* \* \* \* \*

\* \* \* \* \*

L(-2)

L(-1)

L(0)

						x		line (3)
x				x		x		line (2)
x				x		x		line (1)
x	x	x	x	x	x	x	x	line (0)

Keep him there and make him bail her.

Keep    —    —    —    make    —    bail    — .  
          \*                         \*                         \*                         L(0)

Keep    —    —    —    make    —    bail    —.

*	*	*	$L(-1)$
*	*	*	$L(0)$

Keep him there and make him bail her.

*	*	*	*	*	*	*	*	L(-1)
*				*		*		L(0)



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MWFR 3 requires four  $L(0)$  beats, which, according to MWFR 4, must be separated by one  $L(-1)$  beat. The only organization fulfilling this condition is:

Keep him there and make him bail her.

*	*	*	*	*	*	*	*	L(-1)
*		*		*		*		L(0)

Assigning appropriate durational values correctly completes the derivation:

Keep him there and make him bail her.

The musical notation consists of two measures. The first measure contains four eighth notes: 'Keep', 'him', 'there', and 'and'. The second measure contains four eighth notes: 'make', 'him', 'bail', and 'her.'. Below each note are two asterisks. To the right of the second measure, there are two labels:  $L(-1)$  and  $L(0)$ .

Next we derive a setting for verse eight, a line having only two accented syllables:

					x		line (3)
x					x		line (2)
x	x				x		line (1)
x	x	x	x	x	x	x	line (0)

Keel - haul him till he's so - ber.

Proceeding from left to right, we assign next  $L(0)$  beats as follows:

Keel - \_\_\_\_\_ SO - \_\_\_\_\_.  
\* \* L(0)

Next we distribute  $L(-1)$  beats from left to right to the remaining syllables:

Keel	-	haul	him	till	he's	so-	ber.	
*		*	*	*	*	*	*	$L(-1)$
*						*		$L(0)$

Now we assign the remaining  $L(0)$  beats required by MWFR 3. No possible assignment of  $L(0)$  beats can be derived that respects the binary spacing condition MWFR 4, as can be seen in (10).

(10a) Keel - haul him till he's so - ber.  
 \* \* \* \* \* L(-1)  
 \* \* \* \* \* L(0)

(10b)

Keel	-	haul	him	till	he's	so	-	ber.
*		*	*	*	*	*	*	L(-1)
*			*		*	*		L(0)



An L(-1) beat must be interposed to achieve metrical well-formedness. We need to propose a rule that allows for the interposition of an additional L(-1) beat in particular environments. As has been noted by phonologists for some time,<sup>11</sup> two adjacent, or nearly adjacent, stressed syllables present a “stress clash,” which tends to be resolved by the speaker’s application of the “rhythm rule”: primary stress is deleted from the first of the two adjacent stressed syllables and shifted leftwards to a previous stressed syllable. Well-known examples of this phenomenon are shown in (11).

## (11) The Rhythm Rule

		x			x	line (3)
	x	x		x	x	line (2)
x	x	x		x	x	line (1)
x	x	x		x	x	line (0)
thirteen	+	men	<i>becomes</i>	thirteen	men	

				X					x	line (3)
		x		x			x		x	line (2)
x		x		x		x	x		x	line (1)
x	x	x	x	x		x	x	x	x	line (0)
Mississippi + Mike						<i>becomes</i> Mississippi Mike				

In textsetting, stress clashes may be reduced in certain contexts by application of the rhythm rule. That is, the stress grid is altered and a setting is derived based on the altered stress grid. More common, however, is the alleviation of the clash by actual temporal separation of the two adjacent stressed units. In (10b), the stress clash between “keel” and “haul” provides the opportunity of achieving a well-formed grid by the insertion of an additional L(−1) beat between the two syllables. We therefore propose the following:

**Beat Addition Rule:** An L(-1) beat may be interposed between two stressed syllables in order to achieve a well-formed grid.

The parenthesized asterisk at level  $L(-1)$  is interposed in the environment specified in the Beat Addition Rule, achieving the desired setting:

Keel - haul	him	till	he's	so - ber.		
* (*)	*	*	*	*	*	L(-1)
*	*	*		*		L(0)

<sup>11</sup> Morris Halle and Noam Chomsky, *The Sound Pattern of English* (New York: Harper and Row, 1968), 117.



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It is worth noting in passing that the Beat Addition Rule needs to be expanded to deal with lines that lack adjacent stressed syllables, such as the construct:

Sha-ckle him till he's so-ber.  
 \*       \*       \* (\*) \*       \*       \*       \*       L(-1)

Another expansion of the rule would account for lines having three consecutive stressed syllables, such as:

Keel - haul John till he's so - ber.  
 \* \* \* (\*) \* \* \* \* L(-1)

While the Beat Addition Rule, as we have formulated it, makes no prediction, in these cases, L(-1) beats are interposed after the direct objects “him” and “John.” This appears to indicate a preference for dividing the sentence into what linguists refer to as major *syntactic units*—in this case, the *verb phrases* (“Shackle him” and “Keel-haul John”) and the *prepositional phrase* (“till he’s sober”).<sup>12</sup>

\* \* \*

The above rule system generates acceptable settings for most verses of *The Drunken Sailor* texts. Two verses, however, remain problematic. We turn first to verse thirteen with its associated stress grid:

					x						x	line (4)
					x						x	line (3)
		x			x			x			x	line (2)
x		x	.		x			x	x		x	line (1)
x	x	x	x	x	x	x	x	x	x	x	x	line (0)

Scrape the hair off his chest with a hoop i - ron ra -zor

Step 1 assigns L(0) beats to accented syllables:

Scrape the hair off his chest with a hoop i-ron ra-zer.  
 \*                    \*                    \*                    \*                    L(0)

Having exhausted the four  $L(0)$  beats, we assign  $L(-1)$  beats from left to right in accordance with MWFRs as follows:


Scrape the hair off his chest with a hoop i-ron ra-zor.

*	*	*	*	*	*	*	*	L(-1)
	*		*		*		*	L(0)

<sup>12</sup> Hayes, "The Phonology of Rhythm," 69.



Assigning musical notation, we achieve the following setting:



Scrape the hair off his chest with a hoot i-ron ra-zor.

MWFR 3 has not been violated: the setting contains four L(0) beats, and each L(0) beat is appropriately separated in accordance with RWFR 4. But our statement of their being a single paradigmatic grid in (8) was oversimplified; the MWFRs permit three additional paradigmatic grids:

(12a)

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L(-2)

L(-1)

L(0)

(12b)

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L(-2)

L(-1)

L(0)

(12c)

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L(-2)

L(-1)

L(0)

These alternate forms of the paradigm are employed in cases where the first syllable is unstressed, followed by a stressed but unaccented syllable (12a); a stressed but unaccented syllable (12b); or an unstressed followed by an accented syllable (12c). Each requires that following the final strong beat, there is either (a) no syllable, (b) one syllable or (c) two syllables.

With the exception of verse thirteen, “Scrape the hair off his chest with a hoop iron razor,” all verses begin with an accented syllable and hence are not assigned any of the alternate paradigmatic grids in (12). It is easy, however, to construct texts that must be set by one of the alternate forms, with (13a) corresponding to (12a), and (13b) to (12c):

(13a)

x

x

x

x

x

x

x

x

x

x

x

x

x

x

x

x

line (4)

line (3)

line (2)

line (1)

line (0)

We'll scrape the hair off his chest with a hoop i-ron blade.

(13b)

line (3)

line (2)

line (1)

line (0)

Re-lease him there and make him bail her.



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Finally, we turn our attention to verse two, which, while having four stressed syllables, is not assigned the appropriate setting by the algorithm. We assume the stress grid:

											x	line (3)
	x				x				x	x		line (2)
	x				x	x			x	x		line (1)
	x	x	x	x	x	x	x	x	x	x	x	line (0)
Put	him	in	the	guard	room	till	he	gets	so	-	ber.	

Step 1 assigns the L(0) beats:

Put	him	in	the	guard	room	till	he	gets	so-ber.	
*				*				*	*	L(0)












Yet the preferred setting is:

Put	him	in	the	guard	room	till	he	gets	so-ber.	
*				*				*	*	L(0)

The probable solution involves stress retraction effected by the rhythm rule referred to above. In the first setting, a clash is produced by the adjacent stressed syllables “gets” and “sob-,” but the rule shifts the main stress to “he”:

											x	line (3)
	x				x				x	x		line (2)
	x				x	x			x	x	x	line (1)
	x	x	x	x	x	x	x	x	x	x	x	line (0)
Put	him	in	the	guard	room	till	he	gets	so	-	ber.	

This grid will be seen to result in the acceptable setting is notated as follows:

													
Put	him	in	the	guard	room	till	he	gets	so	-	ber.		

Under normal linguistic circumstances indicated in the assumed phonological grid for the above line, the rhythm rule would not be invoked. Textsetting, as a rhythmicization and melodicization of normal speech, is not a normal linguistic environment. More flexibility in the application of particular phonological rules, particularly those producing “euphony,” is to be expected.

\* \* \*

The textsetting algorithm that we have used to generate settings for the verses of one song has a far wider range of application than may appear at first sight. In particular, for those idioms referred to by R.T. Oerhle as



“metrically rigid”<sup>13</sup>—whether specifically poetic as in the case of nursery rhymes and jump-roping songs, or musical as in the case of rap music—modified versions of the algorithm can be advanced that generate more or less plausible normative settings. Also worth investigation is the role of these normative settings in constraining the choices of composers, either positively or negatively, in confirming or overturning listeners’ expectations for the “most natural” correspondence of text and tune.

Appropriately extended versions of the algorithm can assign metrical structure to texts that are not normally intoned in a metrically rigid fashion. This inquiry may therefore shed light on prosodic idioms that have remained problematic from the standpoint of traditional prosodic theory. Foremost among these are blank verse as practiced by Donne, Milton and Shakespeare, as well as Hopkins’ highly abstruse Sprung Rhythm, discussed recently by Paul Kiparsky.<sup>14</sup> A further expansion might involve an application to the intonational structure of phrases within normal speech, in order to explain a speaker’s highly subtle intuitions with respect to the “rhythms of speech” that form a significant component of his unconscious knowledge of his language.

#### ABSTRACT

Formalisms borrowed from generative music theory and generative phonology are employed to represent abstract structures underlying textsetting. An algorithm is then advanced which is shown to produce appropriate settings for a well-known strophic song.

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<sup>13</sup> R.T. Oerhle, “Temporal Structures in Verse Design,” in *Phonetics and Phonology, Rhythm and Meter*, ed. Paul Kiparsky and Gilbert Youmans (San Diego: Academic Press, 1989), 87–119.

<sup>14</sup> Paul Kiparsky, “Sprung Rhythm,” in *Phonetics and Phonology*, 305–40.